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(Modified) PTO/SB/08A-B (10-96)
Approved for use through 10/31/99. OMB 0651-0031

Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known	
Application Number	09/805,880 09/985,666
Filing Date	July 13, 2001
First Named Inventor	Lorraine J. Oliver
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	02-106820US

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document <i>Class 1 Subclass</i>	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
NR	AA	5,512,463		Stemmer 435/91.2	04-30-1996	
	AB	5,514,588		Varadaraj 435/262	05-07-1996	
	AC	5,605,793		Stemmer 435/6	02-25-1997	
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Examiner Signature	<i>N. Aked</i>		9/10/04	Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/905,666 0919051666
		Filing Date	July 13, 2001
		First Named Inventor	Lorraine J. Glivar
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	02-106820US

NR	BB	6,004,788		Short 435/183	12-21-1999	
NR	BC	6,030,779		Short 435/6	02-29-2000	
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		Office	Number	Kind Code (if known)				
NR	BO	WO	97/07205		Novo Nordisk A/S	02-27-1997		
NR	BP	WO	97/20078		Affymax Technologies	06-05-1997		
NR	BQ	WO	97/25410		Novo Nordisk A/S	07-17-1997		
NR	BR	WO	97/35957		Maxygen, Inc.	10-02-1997		
NR	BS	WO	97/35966		Maxygen, Inc.	10-02-1997		
NR	BT	WO	97/44361		Recombinant Biocatalysis Inc.	11-27-1997		
NR	BU	WO	97/48416		Recombinant Biocatalysis, Inc.	12-24-1997		
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				First Named Inventor	Lorraine J. Oliver	
				Group Art Unit	Unassigned	
				Examiner Name	Unassigned	
				Attorney Docket Number	02-106820US	

<i>me</i>	BY	WO	98/01581	Biocatalysis Inc		
<i>me</i>	BZ	WO	98/13485	Recombinant Biocatalysis Inc	01-15-1998	
<i>me</i>	CA	WO	98/13487	Maxygen, Inc.	04-02-1998	
<i>me</i>	CB	WO	98/24799	Diversa Corp.	04-02-1998	
<i>me</i>	CC	WO	98/27230	Maxygen, Inc.	06-11-1998	
<i>me</i>	CD	WO	98/28416	Novo Nordisk A/S	06-25-1998	
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<i>me</i>	CI	WO	98/41653	Novo Nordisk A/S	09-24-1998	
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<i>me</i>	CR	EP	0911396A2	Maxygen, Inc.	04-28-1999	
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<i>me</i>	CU	WO	99/23107	Maxygen, Inc.	05-14-1999	
<i>me</i>	CV	WO	99/23236	Diversa Corp.	05-14-1999	
<i>me</i>	CW	WO	99/29902	California Institute of Technology et al.	06-17-1999	

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		Application Number	09/905,660	09/905,666		
		Filing Date	July 13, 2001			
		First Named Inventor	L train J. Gliv r			
		Group Art Unit	Unassign d			
		Examiner Name	Unassigned			
		Attorney Docket Number	02-106820US			

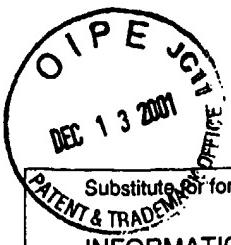
<i>NR</i>	CX	EP	0934999A1	Maxygen, Inc.	08-11-1999		
<i>NR</i>	CY	WO	99/41368	Maxygen, Inc.	08-19-1999		
<i>NR</i>	CZ	WO	99/41369	Maxygen, Inc.	08-19-1999		
<i>NR</i>	DA	WO	99/41383	Maxygen, Inc.	08-19-1999		
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<i>NR</i>	DC	WO	99/45154	Diversa Corp.	09-10-1999		
<i>NR</i>	DD	WO	99/57128	Maxygen, Inc.	11-11-1999		
<i>NR</i>	DE	WO	99/65927	Maxygen, Inc.	12-23-1999		
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<i>NR</i>	DI	WO	00/58517	Diversa Corporation	10-05-2000		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
<i>NR</i>	DJ	Chang, C., et al. (1999) "Evolution of a cytokine using DNA family shuffling." <u>Nature Biotechnology</u> 17:793-797.	
<i>NR</i>	DK	Christians, F.C. et al., (1999) "Directed evolution of thymidine kinase for AZT phosphorylation using DNA family shuffling." <u>Nature Biotechnology</u> 17:259-264.	
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<i>NR</i>	DQ	Cramer, A. et al., (1997) "Molecular evolution of an arsenate detoxification pathway by DNA shuffling." <u>Nature Biotechnology</u> 15:436-438	

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		First Named Inventor	Lorrain J. Giliv r
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	02-106820US

<i>Ne</i>	DR	Crameri, A. et al., (1998) "DNA shuffling of a family of genes from diverse species accelerates directed evolution." <i>Nature</i> 391:288-291.
<i>Ne</i>	DS	Gates, C.M. et al., (1996) "Affinity selective isolation of ligands from peptide libraries through display on a lac repressor 'headpiece dimer". <i>Journal of Molecular Biology</i> 255:373-386.
<i>Ne</i>	DT	Minshull, J., Stemmer, W.P.C. (1999) "Protein evolution by molecular breeding." <i>Current Opinion in Chemical Biology</i> 3:284-290.
<i>Ne</i>	DU	Ness, J. et al., (1999) "DNA shuffling of subgenomic sequences of subtilisin." <i>Nature Biotechnology</i> 17:893-896.
<i>Ne</i>	DV	Patten, P.A. et al., (1997) "Application of DNA Shuffling to Pharmaceuticals and Vaccines." <i>Current Opinion in Biotechnology</i> 8:724-733.
<i>Ne</i>	DW	Pelletier, Joelle N., (2001) "A Rachitt for our toolbox" <i>Nature Biotechnology</i> vol. 19, p. 314-315
<i>Ne</i>	DX	Stemmer, W.P.C. (1994) "DNA Shuffling by random fragmentation and reassembly: In vitro recombination for molecular evolution." <i>PNAS</i> 91:10751
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<i>Ne</i>	DZ	Stemmer, W.P.C. (1995) "The Evolution of Molecular Computation." <i>Science</i> 270:1510
<i>Ne</i>	EA	Stemmer, W.P.C. (1995) "Searching Sequence Space." <i>Bio/Technology</i> 13:549-553.
<i>Ne</i>	EB	Stemmer, W.P.C. (1996) "Sexual PCR and Assembly PCR." In: <i>The Encyclopedia of Molecular Biology</i> . VCH Publishers, New York. pp. 447-457.
<i>Ne</i>	EC	Stemmer, W.P.C. & Soong, N.W. (1999) "Molecular breeding of viruses for targeting and other clinical properties." <i>Tumor Targeting</i> 4:59-62.
<i>Ne</i>	ED	Zhang, J. et al., (1997) "Directed evolution of an effective fucosidase from a galactosidase by DNA shuffling and screening." <i>Proceedings of the National Academy of Sciences, USA</i> 94:4504-4509.

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Application Number	09/905,666												
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Examiner Name	Nashaat T. Nashed												
Attorney Docket Number	0184-310US												

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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